

December 13, 2023

Conformal Medical, Inc. Stephanie Whitnell Director, Regulatory Affairs 15 Trafalgar Square, Ste. 101 Nashua, New Hampshire 03063

Re: G180189/S033

Trade/Device Name: Conformal Left Atrial Appendage Closure (LAAC) System

Dated: November 10, 2023 Received: November 13, 2023

CMS Category: B

Annual Report Due: September 7, 2024

## Dear Stephanie Whitnell:

The Food and Drug Administration (FDA) has reviewed the supplement to your Investigational Device Exemption (IDE) application to expand your pivotal study (The CONFORM Pivotal Trial: An evaluation of the safety and effectiveness of the CLAAS System for Left Atrial Appendage Occlusion) for a significant risk device proposing the addition of 1200 patients. Your submission was amended via emails dated December 4, 2023 and December 6, 2023 to provide additional adverse event reporting details, Clinical Events Committee adjudications, and Corrective and Preventive Actions (CAPA) for device failure mitigation strategies. FDA has determined you have human clinical study; this means that there are no subject protection concerns that preclude expansion of the investigation. Your supplement is therefore approved, and you may expand your study. Your investigation is limited to 100 US institutions and 1900 US subjects (300 roll-in and 1600 randomized).

You must also obtain institutional review board (IRB) approval before implementing this change in your investigation as required by 21 CFR 812.35(a) because FDA believes this change affects the rights, safety, or welfare of subjects.

FDA will waive those requirements regarding prior approval of a supplemental IDE application for investigational sites (21 CFR 812.35(b)) provided that the total number of investigational sites does not exceed the limit identified in this letter. Under this waiver, the study may be initiated at new sites, up to the approved limit, and updated information required by 21 CFR 812.20(b) on participating investigators and associated Institutional Review Boards (IRBs) and the IRB approval documentation may be submitted all at once in your IDE annual progress report. You must, however, submit a supplemental IDE application, and receive FDA approval, prior to expanding the investigation beyond the site limit specified in this letter. In addition, you must maintain current records as required by 21 CFR 812.140 and submit reports as required by 21 CFR 812.150. If a reviewing IRB requires any significant changes in the investigational plan or in the informed consent that may increase the risks to subjects or affect the scientific soundness of the study, then this change must be submitted to FDA for review and approval prior to initiating the study at that

investigational site (21 CFR 812.35). Minor changes requested by the IRB may be made without prior FDA approval. FDA also will waive the requirement for 6-month current investigator lists (21 CFR 812.150(b)(4)) provided that current investigator information is submitted every 12 months as part of the IDE annual progress report.

In order for your study to serve as the primary clinical support for a future marketing approval or clearance, FDA has provided additional study design considerations as an attachment to this letter. These recommendations do not relate to the safety, rights or welfare of study subjects and they do not need to be addressed in order for you to conduct your study. You are reminded that prior to implementing any significant modifications to the approved investigational protocol you must obtain FDA approval, and, if appropriate, IRB approval for the changes.

We note that you have designed this protocol to collect safety and effectiveness data to support submission of a future PMA application. Regarding the statistics to be presented in the PMA, we expect analysis of the primary dataset to contain one line per unit (e.g., person, sample, observation) with clinical outcomes and baseline covariates. You should also provide the statistical program code which produces the above analyses and which clearly documents variable definitions and coding schemes, as well as the data, in an electronic format (e.g., SAS, S-Plus or R, Excel, ASCII).

If approved, it is likely that a post-approval study (PAS) may be requested as a Condition of Approval (CoA). As the original IDE cohort can sometimes be used to gather long-term safety and effectiveness data after market approval, we suggest you consider obtaining patient informed consent and IRB approval at the initiation of the study so that enrolled subjects will be followed for a period of at least 5 years. FDA believes this may reduce patient loss to follow-up during the marketing application review process and keep many subjects available to participate in such a PAS if ordered. In addition, please note that other clinical studies apart from continued follow-up of IDE subjects, including prospective studies which enroll new patients, may also be required as CoA should a future marketing application be approved.

Future correspondence concerning this application should be identified as an IDE supplement referencing the IDE number above, and must be submitted following eCopy guidelines to:

U.S. Food and Drug Administration Center for Devices and Radiological Health IDE Document Control Center - WO66-G609 10903 New Hampshire Avenue Silver Spring, MD 20993-0002

The Federal Food, Drug, and Cosmetic Act (the Act), as amended by section 1136 of the Food and Drug Administration Safety and Innovation Act (FDASIA), authorizes FDA to require an electronic copy (eCopy) for certain types of submissions. An eCopy is an exact duplicate of a paper submission, created and submitted on a CD, DVD, or other electronic media, accompanied by a single paper copy of your signed cover letter. This authorization applies to the original, amendments, supplements, and reports, as applicable, for your submission type.

For more information about FDA's eCopy program, including the technical standards for an eCopy, refer to the guidance document, "eCopy Program for Medical Device Submissions" at

https://www.fda.gov/media/83522/download. In addition, we strongly encourage you to visit FDA's eSubmitter website at <a href="https://www.fda.gov/industry/fda-esubmitter/cdrh-esubmitter-program">https://www.fda.gov/industry/fda-esubmitter-program</a> in order to develop an eCopy in accordance with the technical standards prior to sending it to FDA.

If you have any minor clarification questions concerning the contents of the letter, please contact Emily Olszewski at 13017962173 or <a href="mailto:Emily.Olszewski@fda.hhs.gov">Emily.Olszewski@fda.hhs.gov</a>.

Sincerely,

## Brian D. Pullin -S

for Rachel Neubrander, Ph.D.

Director

DHT2B: Division of Circulatory Support, Structural and Vascular Devices OHT2: Office of Cardiovascular Devices Office of Product Evaluation and Quality Center for Devices and Radiological Health

Enclosure
Additional Recommendations and Considerations