

Echocardiographic Exclusion Criteria

REMINDER: Procedural ultrasound imaging will be performed by a qualified physician who is *not* the implanting physician.

Potential subjects will be excluded if **ANY** of the following conditions apply

| Exclusion Criteria | Yes | No |
|---|--------------------------|--------------------------|
| 1. Left atrial appendage cannot accommodate either a commercially available device of the CLAAS device per manufacturer IFU (e.g., the anatomy and sizing must be appropriate for both devices in order to be enrolled in the trial)? | <input type="checkbox"/> | <input type="checkbox"/> |
| 2. Intracardiac thrombus or dense spontaneous echo contrast consistent with thrombus, as visualized by TEE prior to implant? | <input type="checkbox"/> | <input type="checkbox"/> |
| 3. Left ventricular ejection fraction (LVEF) < 30%? | <input type="checkbox"/> | <input type="checkbox"/> |
| 4. Existing circumferential pericardial effusion > 10 mm or symptomatic pericardial effusion, signs, or symptoms of acute or chronic pericarditis, or evidence of tamponade physiology? | <input type="checkbox"/> | <input type="checkbox"/> |
| 5. Atrial septal defect that warrants closure? | <input type="checkbox"/> | <input type="checkbox"/> |
| 6. High risk patent foramen ovale (PFO), defined as an atrial septal aneurysm (exclusion > 15 mm or length > 15 mm) or large shunt (early [within 3 beats] and/or substantial passage of bubbles, e.g. > 20)? | <input type="checkbox"/> | <input type="checkbox"/> |
| 7. Moderate or severe mitral valve stenosis (mitral valve area < 1.5 cm ²)? | <input type="checkbox"/> | <input type="checkbox"/> |
| 8. Complex atheroma with mobile plaque of the descending aorta and/or aortic arch? | <input type="checkbox"/> | <input type="checkbox"/> |
| 9. Evidence of cardiac tumor? | <input type="checkbox"/> | <input type="checkbox"/> |

If utilizing as source (no other source exists)- form should be signed by device implanter or echocardiographer present at implant.

Site Personnel Signature

___/___/_____
Date (DD/MMM/YYYY)

* If any of the listed exclusions are marked as YES, the subject shall be considered a Screen Failure and will be followed for 45 days to evaluate safety.